



Correct  
compilers



Secure  
compilers

# Provably Secure Compilers

Matteo Busi

Dipartimento di Informatica, Università di  
Pisa

[matteo.busi@di.unipi.it](mailto:matteo.busi@di.unipi.it) -  
[pages.di.unipi.it/busi](http://pages.di.unipi.it/busi)

# Today's agenda

- Goals & Motivations
- Correctness...isn't it enough?
- Notions of security
- What about **compiler** security?
  - Full abstraction
  - Other notions
- Alternative approaches
  - Secure translation validation
  - Hardware-based solutions

# Compiler security?

**(Roughly) the goal:**

Show that a given compiler  $[\cdot]$  preserves the security properties of the source programs.

- Is this even relevant?
- Is this a real-world thing?

# Indeed ...

```
pin := read_secret();  
if (check(pin))  
    // OK!  
  
pin := 0; // overwrite the pin
```



```
pin := read_secret();  
if (check(pin))  
    // OK!
```

Does the optimization:

- preserves the semantics?
- what about security?

# Notions of security

- Fundamental question:
  - how would you define security of a **program**?
- At least two ways:
  - trace properties
  - hyperproperties

# Security: trace properties

- Monday's refresher:
  - Observables in  $\mathcal{O}$
  - Behaviour of  $p$  as  $\mathcal{B}(p) \subseteq \mathcal{O}^* \cup \mathcal{O}^\omega$
- Now:
  - $\mathcal{B}(p)$  is a set of traces
  - A **trace property** is  $P \in Prop \triangleq \wp(\mathcal{O}^\omega)$ 
    - $p$  satisfies a trace property ( $p \models P$ ) iff  $\mathcal{B}(p) \subseteq P$

# Security: trace properties (cont.)

We can identify two relevant classes of trace properties:

- **Safety properties:** roughly that something bad will never happen
  - e.g. *Chinese-wall policy*: "a program never writes to the network after having read from a file."
- **Liveness properties:** roughly that something good will eventually happen
  - e.g. *Guaranteed service*: "every request is eventually satisfied."

# Security: trace properties (cont.)

Why are they nice?

- Nice properties:
  - **Theorem:**  $\forall P \in Prop. (\exists S \in Safety, L \in Liveness. P = S \cap L)$
- Relevant for security
- (😊 We pretend that they are) easy to understand



# Correctness and trace properties

Recall *refinement* as seen on monday:

$$\llbracket \cdot \rrbracket \text{ is correct if } \forall s \in S. \mathcal{B}(s) \supseteq \mathcal{B}(\llbracket s \rrbracket)$$

Refinement preserves **all** the trace properties (e.g. the chinese-wall policy above)!

**Theorem:** If  $P \in Prop$ ,  $\llbracket \cdot \rrbracket$  correct and  $s \models P$ , then  $\llbracket s \rrbracket \models P$ .

**Proof:** blackboard.

# Security: hyperproperties

Trace properties are not enough 😞

- e.g. *non-interference*: two executions that differ on secret inputs must be indistinguishable to untrusted users

**Hyperproperties** to the rescue:

- **Idea**: the set of allowed systems
- $\mathbf{P} \in \mathbf{HP} \triangleq \wp(\wp(\mathcal{O}^\omega)) = \wp(Prop)$ ,
- $p \models \mathbf{P}$  iff  $\mathcal{B}(p) \in \mathbf{P}$
- we can now express properties involving multiple traces!

# Security: hyperproperties (cont.)

Again, two relevant classes of hyperproperties:

- **hypersafeties** and **hyperliveness** roughly as above
- subsume trace properties
- still with the same nice properties
- relevant for security!
- **Cons:** not easy anymore! 😞

# Correctness and hyperproperties

Consider the subset-closed (**SSC**) hyperproperties

- i.e.  $\mathbf{P} \in \mathbf{SSC}$  if  $P \in \mathbf{P}$  and  $P' \subseteq P$ , then  $P' \in \mathbf{P}$

**Theorem:**

If  $\mathbf{P} \in \mathbf{SSC}$ ,  $[[\cdot]]$  correct and  $s \models \mathbf{P}$ , then  $[[s]] \models \mathbf{P}$ .

**Proof:** blackboard.

**Remark:**

- Observables are *still* arbitrary, thus
- no preservation if the considered (hyper)property cannot be expressed using  $\mathcal{O}$

# Where are the attackers?

**Security** needs attackers!

- Up to now: implicit and passive attackers, that could just *see* (!) the observables

Let's see...

# A CATtacker! 🐱



# Ok, Seriously... Attackers?

From now onwards:

- Recall that contexts are programs with an hole (denote as  $C_S$  and  $C_T$  + plug-in operator  $[\cdot]$ )
- The active attacker
  - provides **context** of execution
  - observes the actions (as before)

# Compiler security: full abstraction

Full abstraction (FA):

- standard concept in the field of semantics
- first way to define secure compilation

**Definition:**

- Assume behavioural equivalence:  $s_1 \simeq s_2$  (i.e. equi-convergence)
- A compiler  $\llbracket \cdot \rrbracket$  is FA iff  $\forall s_1, s_2 \in \mathcal{S} . s_1 \simeq s_2 \Leftrightarrow \llbracket s_1 \rrbracket \simeq \llbracket s_2 \rrbracket$ .



# Compiler security: full abstraction (cont.)

- **Correctness:**  $s_1 \simeq s_2 \Leftarrow \llbracket s_1 \rrbracket \simeq \llbracket s_2 \rrbracket$
- **Security:**  $s_1 \simeq s_2 \Rightarrow \llbracket s_1 \rrbracket \simeq \llbracket s_2 \rrbracket$
- Both are complex to prove
  - esp. the second one
    - contrapositive:  $\llbracket s_1 \rrbracket \not\simeq \llbracket s_2 \rrbracket \Rightarrow s_1 \not\simeq s_2$
    - usually to be shown via **back-translation**, i.e. "transform" a context distinguishing the two compiled programs into a context distinguishing their source counterparts

# Issues with full abstraction

FA is nice and pretty strong if used correctly, but has some issues:

- Difficult to prove a compiler (not) to be FA
- FA compilers may produce inefficient code
- Mainstream compilers are not usually FA

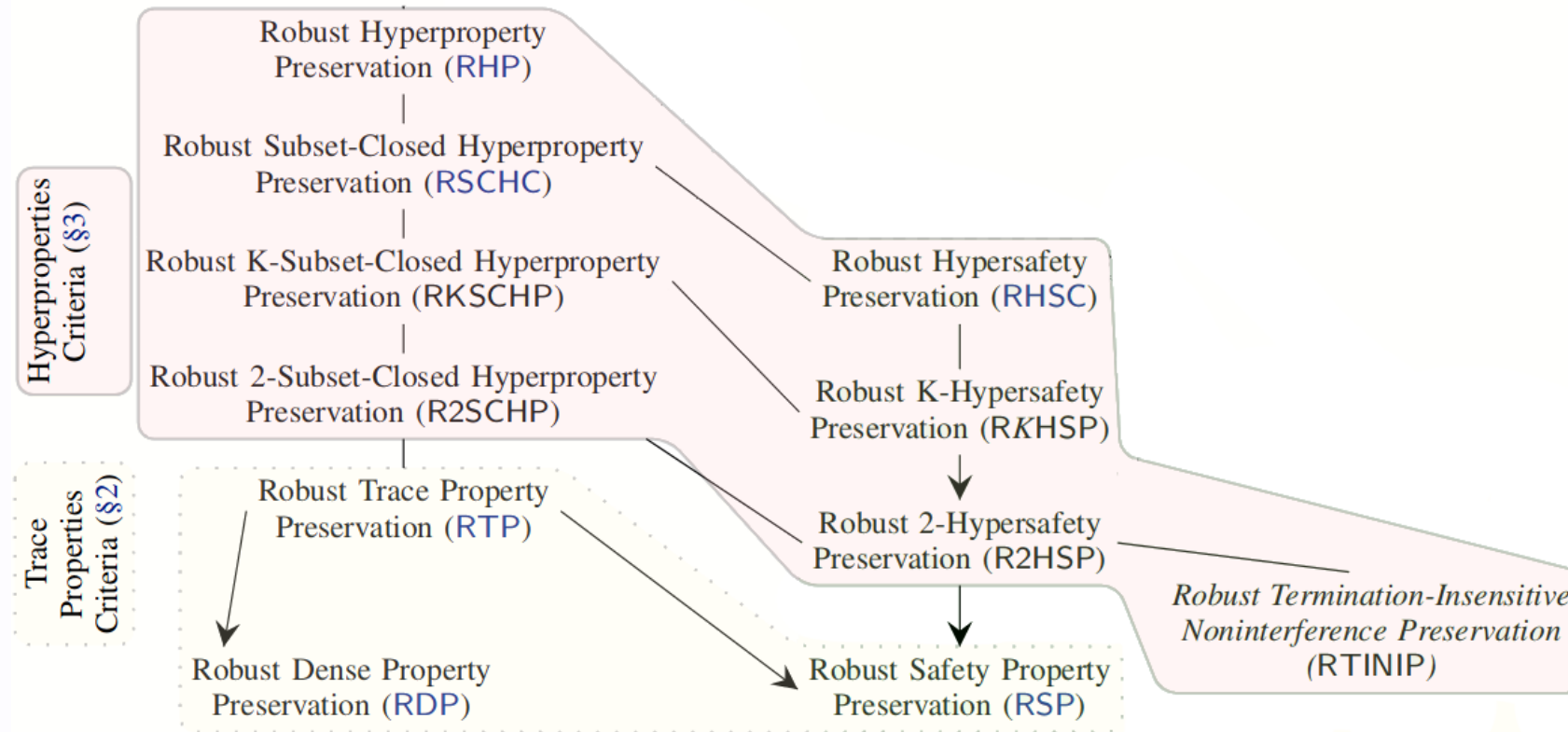
# Other notions of security

Recently, **robust hyperproperty preservation (RHP)** have been proposed.  
A compiler is RHP whenever

$$\forall \mathbf{P} \in \mathbf{F}, s \in S. (\forall C_S. C_S[s] \models \mathbf{P}) \Rightarrow (\forall C_T. C_T[[s]] \models \mathbf{P})$$

i.e. it preserves all the hyperproperties in the set  $\mathbf{F}$ .

# RHP is not alone 😊



(from <https://arxiv.org/abs/1807.04603>)

Question: where's FA? - Tricky question! (see Sec. 5 of [6])

# Other approaches

Many possible alternative approaches to compiler security:

- Non-robust approaches, i.e. w/o contexts
- Secure translation validation
  - Lift the notion of translation validation to secure compilation
  - Under investigation: which principles are more suitable?
- Hardware-based approaches
  - Enclaves:
    - Intel SGX, Sancus, ...
  - Micro-policies based architectures

# Concluding remarks

- Compiler security means **preservation** of some (hyper)property
  - This allows to reason at source level to rule out attacks at the target!
- As for correctness, many principles
  - Full abstraction, w. many applications (e.g. proof of security for mitigations against micro-architectural attacks)
  - New and emerging principles
- Of course, many other approaches in the literature
- No working examples in the slides
  - Things get complex even for very simple languages

# The End

**If you want to have a chat about secure compilation**

**just ask Prof. Degano or contact me 😊**

# Bibliography

## Surveys

[1]. Marco Patrignani, Amal Ahmed, and Dave Clarke. "Formal approaches to secure compilation: A survey of fully abstract compilation and related work." ACM CSUR 51.6 (2019): 125.

[2]. Matteo Busi and Letterio Galletta. "A Brief Tour of Formally Secure Compilation." ITASEC 2019.



# Bibliography (cont.)

## Secure, non-robust compilation

[3]. Gilles Barthe, Benjamin Grégoire, and Vincent Laporte. "Secure compilation of side-channel countermeasures: the case of cryptographic "constant-time"." IEEE CSF 2018.

[4]. Gilles Barthe, Benjamin Grégoire, and Vincent Laporte. "Secure compilation of side-channel countermeasures: the case of cryptographic "constant-time"." IEEE CSF 2018.

[5]. Jonathan Protzenko, et al. "Verified low-level programming embedded in F." ACM ICFP 2017.

# Bibliography (cont.)

## Recent ideas and advances

[6]. Carmine Abate, et al. "Journey beyond full abstraction: Exploring robust property preservation for secure compilation." IEEE CSF 2019.

[7]. Dominique Devriese, Marco Patrignani, and Frank Piessens. "Parametricity versus the universal type." ACM POPL 2017.

[8]. PriSC 2020 program, <https://popl20.sigplan.org/home/prisc-2020>

[9]. PriSC 2019 program, <https://popl19.sigplan.org/track/prisc-2019>

[10]. Matteo Busi, Pierpaolo Degano and Letterio Galletta. "Translation Validation for Security Properties." <https://arxiv.org/abs/1901.05082>